

Cologne, 2010-03-12

Assessment criteria for Institutional Strategies – initial proposals

Status Quo

- 1. Research achievements**
 - a) in the university's profile areas of research activity
 - b) in the university's other areas of research activity
- 2. Institutional setting for top researchers at every career level**
 - a) Structures and processes of research organization
 - b) Infrastructure
 - c) Advancement of young researchers
 - d) Recruitment procedures
 - e) Internationalization and international visibility
 - f) Gender equality
 - g) External collaborations
- 3. Research-oriented teaching** (if a concept for research-oriented teaching was submitted)
- 4. Capacity to act**
 - a) Institutional capability for structurally differentiated self-assessment of the university
 - b) Institutional capability for strategy development and profile shaping
 - c) Governance
 - d) Internal communication processes

Institutional Strategy

- 5. Plausibility** of the Institutional Strategy in view of the goals of the funding programme and the Status Quo
- 6. Coherence** of the Institutional Strategy regarding targets, strategic approach and measures
- 7. Innovative potential** of the measures
- 8. Intended effects** of the measures, regarding target groups and structures

9. Effects on teaching

- a) of the proposed measures to expand top-level research: positive effects and possible unintended side effects
- b) of the proposed concept for research-oriented teaching (if such was submitted)

10. Project organization and management at executive and operational levels

11. Adequacy of the proposed budget to meet institutional goals

12. Sustainability

Potential of the university for the sustained expansion of top-level research (overall assessment)

13. Integration of the Institutional Strategy in the university's long-term planning

14. Foreseeable effects of the Institutional Strategy for the sustained expansion of top-level research at the university (including effects on teaching), at the location, and on the system of higher education and research

15. Likelihood that the university will improve its international competitiveness