

## Key questions for Institutional Strategies – initial proposals

### Status Quo

#### 1. Research achievements

- a) What is the quality of research in the university's **profile areas** of activity?
- b) What is the quality of research in the university's **other areas** of activity?

#### 2. Attractiveness of the institutional setting for top researchers at every career level

- a) How conducive are the university's **structures and processes** of research organization to top-level research, and what reforms have been initiated in recent times?
- b) To what extent does the **infrastructure** support excellent research?
- c) How would you assess the **advancement of young researchers** at the university?
- d) How would you assess the **recruitment procedures** operated by the university?
- e) How would you assess the quality of **internationalization** and the **international visibility** of the university?
- f) What is the present situation concerning **gender equality** at the university?
- g) What is the role of **external cooperation partners or partner institutions**?

#### 3. Research-oriented teaching (if a concept for research-oriented teaching was submitted): How would you assess the research-oriented teaching activities of the university?

#### 4. Capacity to act

- a) How would you assess the university's **capability for self-assessment**? In this context, how appropriate is the SWOT analysis?
- b) How would you assess the university's capability to **develop strategies** and for **profile shaping**? What is your assessment of the **results**?
- c) **Governance**: How would you assess the existing institutional steering (incl. resource allocation, quality management)?
- d) **Internal communication processes**: How are important information and decisions communicated within the university? How does the governing body win the approval of the university members and exploit positively their ideas and suggestions?

## Institutional Strategy

5. **Plausibility** of the Institutional Strategy: How convincing are the goals set in the Institutional Strategy? How plausible is the Institutional Strategy with regard to the objectives of the funding programme, the structure of the university and the SWOT analysis? How does the Institutional Strategy deal with implicit consequences, especially with areas not producing outstanding research quality, but essential for the realization of the overall strategy?
6. **Coherence** of the Institutional Strategy: Are the strategic approach, targets and measures properly matched to each other?
7. **Innovative potential** of the measures: What are the innovative measures characterizing the Institutional Strategy with regard to the promotion of top-level research?
8. What **effects** and what development progress can be achieved through the proposed measures,
  - (a) regarding target groups/personnel: young researchers, senior researchers?
  - (b) regarding structures: collaborations, interdisciplinarity, internationality, gender equality?
9. Effects on **teaching**:
  - a) What positive effects on teaching can be achieved by the proposed measures for the advancement of top-level research? How will the university deal with any unintended side effects?
  - b) How convincing is the concept for research-oriented teaching (if such was submitted)?
10. **Project organization and management**: How would you assess the project organization and project management, also regarding resource allocation and quality management (executive and operational levels)?
11. How adequate is the **proposed budget** to meet the institutional goals?
12. How convincing is the **sustainability** planning of the proposed structural changes?

## Potential of the university for the sustained expansion of top-level research (overall assessment)

13. How would the Institutional Strategy fit into the existing developments and the **long-term planning** of the university (also taking into account proposals under the first and second funding lines)?
14. What **effects** can the Institutional Strategy achieve within the university (including teaching) and at its location, for the sustained expansion of top-level research? Beyond that, in what way would the Institutional Strategy be conducive to the further development of the system of higher education and research?
15. What is the **likelihood** that the Institutional Strategy will improve the international visibility and competitiveness of the university?