Proposal template with explanatory notes for the Universities of Excellence funding line

Proposal as an <u>individual university</u>

General notes

The proposal template with explanatory notes contains information on the preparation of a proposal in the Universities of Excellence funding line within the Excellence Strategy programme. It is based on the *"Administrative Agreement between the Federal and State Governments in Accordance with Article 91b Paragraph 1 of the Basic Law on the Funding of Top-Level Research at Universities – 'Excellence Strategy'"* dated 16 June 2016. The guidelines on the structure and content of the proposal conform to the documents published in September 2016 and April 2017 (Call for proposals, Funding Criteria, Guidelines). These documents and other information are available on the <u>web page of the Wissenschaftsrat</u> (WR, German Council of Science and Humanities).

The proposal contains the overall strategic concept of the university (Part A – Text of the proposal), a funding plan (Part B), a data annex (Part C) and a glossary and list of abbreviations (Part D). Information and data provided in the funding plan and the data annex should be contextualised and if necessary explained with comments in the text of the proposal. The use of diagrams and/or tables is permitted, as is the insertion of additional bullet points. Redundancy should be avoided by referring to relevant points in the text where appropriate.

The Universities of Excellence funding line is fundamentally open to different types of overall strategies and forms of cooperation. The information provided in the proposal forms an essential part of the review process during the on-site visit and, together with the findings of the visit, a core part of the evaluation by the programme committees.

Formal requirements

All proposals which meet the following requirements will be reviewed by international groups of experts:

- Timely submission of a letter of intent (21 February 2018) and compliance with the type of proposal submission announced in the letter of intent (see Guidelines section VI.1);

- Fulfilment of the formal funding requirements with respect to the required minimum number of Clusters of Excellence (see Guidelines sections II.3 and II.2);

- Compliance with the requirements set out in this proposal template.

The complete proposal (Parts A – D) must be prepared in English and German. The English version will be definitive as to content and page numbering. The proposal (Parts A – D) must be submitted in English and German as 25 printed copies each and electronically as a searchable PDF document on a USB stick. The data annex (Part C) must also be submitted as an Excel file (without protection). In the PDF version, please create a table of contents with jump links (for both the text of the proposal and the data annex). Please create the PDF document without password protection and without any access restrictions for reading, copying or printing.

The printed versions and the documents on the USB stick must be submitted to the WR Head Office (address: Geschäftsstelle des Wissenschaftsrates, Stabsstelle Exzellenzstrategie, Brohler Straße 11, D-50968 Köln) by the cut-off deadline of noon on 10 December 2018. The electronic version and the printed version must be identical.

The text of the proposal (Part A) must not exceed 60 pages and the complete document must adhere to the following formatting rules: Page format DIN A4, top and bottom margin 2 cm, left and right margin 3 cm, sequential numbering (starting with section A.1. Summary), font 11 pt Arial, line spacing 1.5. The following requirements must also be observed:

- The structure, numbering and naming of headings specified in the proposal template are mandatory. The explanations in italics below the headings should be deleted in order to enter the text.
- All information required to review the overall strategy must be presented in the text of the proposal (Part A), the funding plan (Part B) and the data annex (Part C).
- No additional annexes may be attached to the proposal other than those listed in the proposal template. In addition, no links to websites may be inserted.
- The data annex (Part C) must be produced using the Excel-document "Model tables for the data annex (Proposal as an individual university)", available on the web page of the Wissenschaftsrat. The formulas in this document must not be changed. More rows may be added if necessary, and/or rows which do not apply to the university may be deleted.
- Alternative font sizes may be used in tables, diagrams, footnotes and the data annex (Part C) as long as the text is clearly legible.
- The data annex (Part C) may only list papers which have already been published or definitively accepted for publication.
- The proposal must be signed by the head of the university. For the electronic version, a digitised signature may be used. For the printed version, please supply one copy with the original signature.

Deviations from the formal requirements may result in a proposal being excluded from the process.

A separate **covering letter from the responsible ministry** (in German) must be attached to the proposal and must include the following information:

- Endorsement of the proposal and the associated overall strategy

- Undertaking to provide co-funding (25 % of proposal total)

- Confirmation that the objectives of the overall strategy are in harmony with the federal state's higher education policy objectives

- Confirmation that the overall strategy is in harmony with the regulations in place in the federal state, and, if applicable, plans to create the necessary legal framework

Undertakings

By submitting the proposal in the Universities of Excellence funding line, the applicant university undertakes

- to comply with the DFG rules on good scientific practice (GSP);
- to report to the WR Head Office during the course of the evaluation on the progress of implementation of the overall strategy; more information about this will follow;
- to use the approved funds solely for the purposeful implementation of the funded overall strategy;
- to hold regular discussions with funding bodies in accordance with Section 5 (2) of the 'Excellence Strategy' administrative agreement of 16 June 2016 on progress made, the use of additional funds and further planning.

Furthermore, by submitting the proposal, the university consents to the data required to process the proposal being electronically stored and processed, assessed for evaluative and statistical purposes, and, as part of the review and decision-making process, made available to experts, the Committee of Experts and the Excellence Commission by the WR Head Office.

If the proposal is approved, the university agrees that the title of the overall strategy, the name of the funded university and, if applicable, the cooperation partners or partner institutions may be published, and that the information supplied in the proposal may be used for statistical data evaluation purposes and published as part of the programme evaluation.

Further Information

Web page of the WR on the Excellence Strategy programme:
https://www.wissenschaftsrat.de/en/fields-of-activity/excellence_strategy.html

Contact persons at the WR Head Office:

For the overall Excellence Strategy programme:

Dr. Inka Spang-Grau, +49 (0)221 3776-281, spang-grau(at)wissenschaftsrat.de
Dr. Verena Witte, +49 (0)221 3776-217, witte(at)wissenschaftsrat.de

For the Universities of Excellence funding line:

Dr. Jessica Chromik, +49 (0)221 3776-272, chromik(at)wissenschaftsrat.de
Regina Immel, +49 (0)221 3776-250, immel(at)wissenschaftsrat.de
Dr. Christine Radtki-Jansen, +49 (0)221 3776-255, radtki(at)wissenschaftsrat.de
Dr. Gerlind Rüve, +49 (0)221 3776-232, rueve(at)wissenschaftsrat.de

Excellence Strategy of the Federal and State Governments

Universities of Excellence Funding Line

[Title of overall strategy]

[Name of university]

Commencement of funding 1 November 2019

Overall Strategy
for Funding in the Excellence Strategy of the Federal and State Governments

[Title of overall strategy]

[Name of university]

Place, date	**Name and signature**
	Rector or President
	<Please submit one copy with original signature>

Brief profile of the university

Established in (year): [yyyy]

[Number] **Academic structural units (e.g. faculties):** [Names] |[1]
[Number] **Study programmes:** [Number] bachelor, [Number] master, [Number] others if applicable |[2]
[Number] **Clusters of Excellence:** [Titles of Clusters of Excellence] |[3]
[Number] **Profile-forming areas** of research and/or other performance areas (teaching, transfer, research infrastructure) and areas of activity (e.g. early career support; equal opportunity; internationalisation; cooperation; staff planning, recruitment and development): [Titles of the profile forming areas]

Data for 2017

Please provide data in accordance with the definitions in the Research Core Data Set, v 1.0. Please visit http://kerndatensatz-forschung.de/version1/Spezifikationstabelle_KDSF_v1.html for an explanation of the abbreviations inside square brackets (information in German only). Please round all percentages to one decimal place. All staff data should be provided regardless of the source of funding.

Total budget including medicine (revenues) [Dr137]	€[…] million |[4]
of which third-party funding (revenues/proceeds) [Dr1a or Dr1b]	€[…] million
Total budget excluding medicine (revenues) [Dr137]	€[…] million
of which third-party funding (revenues/proceeds) [Dr1a or Dr1b]	€[…] million
Professors [Be19]	[…] FTE [Be1] I […] persons [Be2]
of which male/female/not specified [Be7]	[…] % m I […] % f I […] % n.s. |[5]
of which from other countries [Na58]	[…] %
Academic staff (excluding professors) [Be68 minus Be19 minus Be18]	[…] FTE [Be1]
of which male/female/not specified [Be7]	[…] % m I […] % f I […] % n.s.
Doctoral students [Na46/Na38a]	[…] persons [Be2]
of which male/female/not specified [Be7]	[…] % m I […] % f I […] % n.s.
of which from other countries [Na58]	[…] %
Academic support and administrative staff [Be63 plus Be28]	[…] FTE [Be1]
of which male/female/not specified [Be7]	[…] % m I […] % f I […] % n.s.
Students (excluding doctoral students, 1st subject in 1st course, full-time students in winter semester 2017/18)	[…] persons [Be2]
of which male/female/not specified [Be7]	[…] % m I […] % f I […] % n.s.
of which foreign students	[…] %

|[1] Here, please explain how your university is structurally organised, e.g. into faculties. If you use another term, please specify.
|[2] Please name any other types of qualification, e.g. *Magister*, *Diplom*, Staatsexamen (law), Staatsexamen (medicine), Staatsexamen (teaching post), further training courses.
|[3] Please list only those Clusters of Excellence funded from 1 January 2019.
|[4] Please provide all figures on total budget/third-party funding rounded to the nearest million euros, without decimal places.
|[5] Percentage shares for gender [Be7] and nationality [Na58] for professors relate to the number of persons [Be2].

Contents

A. Text of proposal

A.1. Summary	*(max.. 1 page)*
A.2. Status quo and prior achievements	*(approx. 30 to a maximum of 40 pages)*
A.2.1. Overall profile and starting situation	
A.2.2. Analysis of strengths and weaknesses based on previous achievements and successes	
A.2.2.1. Organisation and quality of research	
A.2.2.2. Structure and quality of the other performance areas	
A.2.2.3. Excellence of researchers and framework conditions	
A.3. Plans and potential	*(approx. 20 to a maximum of 30 pages)*
A.3.1. Strategy and objectives	
A.3.2. Planned measures and anticipated effects	
A.3.3. Governance and management structures	
A.3.4. Monitoring for quality assurance and success monitoring	
	Maximum total 60 pages

B. Funding plan

C. Data annex

C.1. Basic data on the university

C.2. Data on the organisation and quality of research and support for early career researchers

C.3. Data on the structure and quality of teaching

C.4. Data on the structure and quality of transfer

C.5. Data on the structure and quality of research infrastructure

C.6. Data on other areas of activity

C.7. Diagrams showing structural organisation, bodies and processes

D. Glossary and list of abbreviations

A. Text of proposal

A.1. Summary

–Length for section A.1: max. 1 page –

Please summarise your overall strategy with reference to the status quo and long-term planning (section A.2. and section A.3.). In so doing, please comment on your overall profile and individual core profile areas, prior achievements, objectives, planned measures, |⁶ milestones and anticipated results.

A.2. Status quo and prior achievements

– Length for section A.2.: approx. 30 to a maximum of 40 pages –

Section A.2. should present the status quo and prior achievements with respect to the strategic orientation to date and the overall profile of the university, individual core profile areas, the starting situation respectively the framework and environmental conditions. The purpose of the analysis of strengths and weaknesses is to analyse and critically reflect on the starting situation as it stands at present. Strengths, weaknesses and possible differences in performance should be explained and contextualised. Opportunities and threats in relation to plans and potential are listed in A.3.1. Strategy and objectives.

A.2.1. Overall profile and starting situation

Please explain how the university views itself as an institutional entity, its current overall profile (including the profile-forming process) and its present regional, national and international importance. Please describe profile areas and unique features with respect to all performance areas and areas of activity. The description should include the following aspects:

- *Importance of the different performance areas and, if applicable, other areas of activity and the interactions between them, if these are relevant to the expansion of top-level research;*

- *Positioning of the university and individual profile areas compared with institutions in Germany and abroad (with comments and rationale, e.g. relating to benchmarks and national and international reference institutions);*

- *Framework conditions to enhance capabilities in research and other performance areas and areas of activity, e.g. location-specific, institutional, staff-related, financial and infrastructural conditions, importance of cooperation partners, governance (with reference to the diagrams in data annex C.7. if relevant);*

- *Any other aspects which demonstrate the handling of challenges, the capability for strategy development and the action-taking capacity of the university.*

Please detail why, in terms of <u>previous</u> performance level and <u>current</u> capability for strategy development and action-taking capacity, the university has the institutional maturity that marks it out as a potential University of Excellence.

|⁶ Measures should define sub-goals of the overall strategy which can be operationalised. They could represent comprehensive packages of sub-measures for the implementation of the overall concept.

A.2.2. Analysis of strengths and weaknesses based on previous achievements and successes

Please explain __at this point__ how the analysis of strengths and weaknesses was conducted (format, participants, empirical basis).

Please describe __in the following sections (A.2.1. – A.2.2.3.)__ the strengths and weaknesses of the university in terms of its current international leading position in research and the performance areas of teaching, transfer and research infrastructure and with respect to the excellence of researchers and framework conditions. Please comment on, and if necessary contextualise, the evidence of previous achievements listed in the data annex as well as the measures and effects in research and other performance areas.

A.2.2.1. Organisation and quality of research

Please describe the __current strategic orientation of research__ and the university's research profile. Please name the profile-forming research areas which have already achieved a leading international position (e.g. core research areas) and the emerging fields in research which are currently being established. |⁷ Please refer to the appropriate brief descriptions in the data annex (C.2.8.) and explain the function and relevance of the profile-forming research areas. Please explain how these core research areas and emerging fields are formed, organised and supported. Please also describe how they are integrated in the university structures, how they have been developed so far and, if applicable, how they interact with other institutions.

Please explain the framework conditions and support structures for research at the university. Please make reference to the diagrams in the data annex (C.7.) and, if appropriate, explain links with other areas of activity (e.g. early career support; equal opportunity; |⁸ internationalisation; cooperation; staff planning, recruitment and development).

Please explain the university's concept of quality in research and name the most important objectives, quality assurance measures and evaluation parameters (e.g. with regard to the handling of scientific misconduct and the promotion of scientific integrity and equal opportunity).

Please list the __strengths and weaknesses__ of the university in the performance area of research. The analysis should take into consideration all research conducted at the university. Please comment on the quality of research based on the evidence of previous research achievements provided in the data annex (both within and outside the profile-forming research areas, e.g. with regard to questions, challenges, development/establishment of new fields of research, research contributions). Please explain how you have dealt with challenges in research so far. Please refer to interactions between research and the other performance areas and additional areas of activity, including with respect to previous objectives and the performance level achieved.

A.2.2.2. Structure and quality of other performance areas

Please list the strengths and weaknesses in teaching, transfer and research infrastructure. Please focus the analysis of strengths and weaknesses on the importance of the respective performance area to the current overall profile of the university, specifically its contribution

---

|⁷ An alternative, university-specific designation may be used.

|⁸ The term 'equal opportunity' refers to the promotion of equality between female and male researchers, the enabling of a balance between research and family commitments and, in general terms, the promotion of diversity in research with respect to structures and processes.

to the expansion of top-level research. If relevant, please identify interfaces to other areas of activity (e.g. early career support; equal opportunity; internationalisation; cooperation; staff planning, recruitment and development). Please explain the university's concept of quality in each performance area and name the most important objectives, quality assurance measures and evaluation parameters. Please explain how you have dealt with challenges in the relevant performance area so far.

a) Teaching

Please explain key characteristics and previous achievements in teaching (e.g. in relation to strategies/concepts, choice of study programmes, essential structures/processes and framework conditions).

b) Transfer

Please explain key focal areas and previous achievements in transfer (e.g. in relation to strategies/concepts, key transfer activities, essential structures/processes and partners).

c) Research infrastructure

Please explain the most important research infrastructure at the university and the associated achievements and effects (e.g. in relation to strategies/concepts, research results, scientific potential, usage aspects).

A.2.2.3. Excellence of researchers and framework conditions

Please describe what sets the outstanding researchers apart on an international comparative basis (e.g. academic performance level, reputation, international networks, ongoing development of other performance areas and areas of activity).

Please elaborate the effectiveness of the supporting structures and processes outlined in A.2.2.1. and A.2.2.2. and make clear with what measures the academic excellence of researchers at all career levels at the university is to be fostered and developed (e.g. promotion of quality; support for early career researchers; promotion of equal opportunity; internationalisation; cooperation; strategies for staff planning, recruitment and development).

A.3. Plans and potential

– Length for section A.3.: approx. 20 to a maximum of 30 pages –

The purpose of this section is to present the long-term overall strategy of the university. In the overall strategy, objectives for the university as a whole should be defined based on the status quo, prior achievements and the analysis of strengths and weaknesses. The overall strategy should be designed to enable the university to establish itself among the leading group in an international competitive field and to strengthen and build upon this position in the long term. It should also demonstrate the university's capacity for institutional renewal against the background of long-term funding. In addition to research, planning may include other performance areas and areas of activity, as well as supporting structures and processes.

A.3.1. Strategy and objectives

Explain the long-term overall strategy of the university, justify the associated overarching aims and explain the strategy-forming process (including format, participants and empirical basis). Please demonstrate that this is a sound overall strategy which is transparent to all relevant actors and ensures the university's capacity for institutional renewal.

Please name up to five objectives in your overall strategy which you intend to achieve with the requested funding. Please outline how the objectives described are to be achieved. Please relate the objectives to the additional changes, whether planned or in progress, outside the programme (e.g. participation in other funding programmes), previous achievements and the opportunities and threats identified in the analysis of strengths and weaknesses (analysis of potential). Please explain how conflicts of objectives and the setting of lower priorities are dealt with.

A.3.2. Planned measures and anticipated effects

Please describe the envisaged measures and justify the resource requirements requested through the funding plan (see Part B. Funding plan). Please explain how the measures are to be implemented and to what extent they are suitable for reinforcing the long-term positioning of the university in the regional, national and, especially, international settings. Please elaborate which researchers of your university are substantially involved in implementing the strategy and explain how they participate (with reference to table C.2.4. in the data annex). If cooperation with external partners is relevant to the proposal, please describe the role of these cooperation partners or partner institutions in each measure and the quality of the planned cooperation.

Please state the intended long-term effects of the measures on the university and its location, and beyond if relevant, e.g. in terms of improving the performance level in research and other performance areas, the framework conditions for researchers at all career levels, early career support (including early research independence) and equal opportunity, and, if applicable, the further development of other areas of activity (including interactions between them). Outline how potential conflicts of objectives, threats and unintended consequences will be dealt with, in respect of individual measures. Please name the anticipated results of each measure by which its success is to be measured.

*If the university has received funding for an **Institutional Strategy within the framework of the Excellence Initiative**, please explain the connection between the measures associated with the Institutional Strategy and the newly proposed or continuing measures. In the case of continuing measures, please explain to what extent these harmonise with the objectives*

of the overall strategy and represent a reasonable continuation in terms of the programme objectives of the Excellence Strategy.

Please make clear to what extent the objectives pursued with the __university allowance for Clusters of Excellence__ are integrated in the university's overall strategy and/or are related to the measures presented in this proposal as a University of Excellence.

A.3.3. Governance and management structures

Please explain the governance of the university with regard to the implementation of the overall strategy and the planned measures (e.g. statutory bodies and advisory, decision-making and monitoring processes), referring to the diagrams in the data annex (see C.7.). Please explain how important decisions are to be made (e.g. relating to practices and criteria of internal financial resource allocation; staff planning, recruitment and development; coordination; monitoring; balance of interests and balance of power) at the central and non-central action levels described (e.g. rector's or president's office, academic structural units, other permanent and temporary structures of relevance to the planning and implementation of the overall strategy).

Please explain how governance and management structures effectively support the expansion of research performance, ensure capacity for institutional renewal and how they are suitable for enabling long-term strategic action and control. Please explain to what extent all legal, staffing, financial and (infra)structural requirements for the implementation of the proposed measures are in place and/or will be provided (including, if applicable, necessary legal changes at the level of the university and/or the federal state).

A.3.4. Monitoring for quality assurance and success monitoring

Please describe the anticipated long-term effects of the overall strategy on the university, and beyond if applicable. Please state anticipated results that exceed the sum of the individual measures.

Please explain the criteria, measures and mechanisms of quality assurance and success monitoring which will be used to ensure implementation of the measures, verify their effectiveness and ensure achievement of the objectives. Please also describe instruments and measures with which the university's capacity for institutional renewal is to be ensured (e.g. processes and instruments for changing direction and readjustment).

Please describe processes, criteria and, if applicable, defined goals which will be used to decide on the establishment, continuation or termination of particular measures (e.g. in the event of non-approval, a reduction in funding or a discontinuation of funding).

B. Funding plan

Please complete the tables below. Please note that, if the proposal is approved, the funds will be earmarked with regard to the programme objectives and the funding plan. The management and use of financial resources is subject to the regulations applicable in the relevant state for the basic funding of universities.

The requested annual funds per university should not exceed €15 million.

Table B.1.: Planned funding for staff, other direct expenditure and investments by year in € millions

Please state on the basis of which collective bargaining rules the staff funding rates were calculated. Alternatively, you can use the DFG staff funding rates for 2017; see DFG form 60.12.

Year / Funding category	2019	2020	2021	2022	2023	2024	2025	2026	Total for each funding category
Staff *									
Other direct expenditure									
Investments									
Total funding per year									
Of which funds for cooperation partners/partner institutions if applicable (see Table B.5.)									

* Staff cost rates calculated on the basis of: *[…]*

Table B.2.: Planned annual total funding for measures in € millions

Year / Measure	2019	2020	2021	2022	2023	2024	2025	2026	Total for each project
[Title of the measure]									
[Title of the measure]									
[…]									
Total funding per year									

Table B.3.: Planned total funding for measures by funding category in the period from 2019 to 2026 in € millions

Funding category / Measure	Staff	Other direct expenditure	Investments	Total for each measure
[Title of the measure]				
[Title of the measure]				
[…]				
Grand total				

Table B.4.: Planned number of employees (in full-time equivalents) by staff category for each measure in the period from 2019 to 2026

Staff category / Measure	Professors			Junior research group leaders	Post-doc resear-chers	Doc-toral stu-dents	Other academic and artistic staff *	Academic support and administrative staff
	Full pro-fessors	Junior prof. with tenure track	Junior prof. with-out tenure track					
[Title of the measure]								
[Title of the measure]								
[...]								
Total								

* "Other academic and artistic staff" includes all persons in academic and artistic positions with the exception of professors, junior research group leaders, postdoctoral researchers and doctoral students.

Table B.5.: Planned funds for cooperation partners and partner institutions in the period from 2019 to 2026 in € millions

Note: The transfer of funds is in principle only possible at cooperation institutions based in Germany in form of temporary project funding and within the framework of state regulations governing the basic funding of universities. Cooperation partners from the private sector and from abroad can in principle not receive funding from the Excellence Strategy programme.

Cooperation partner or partner institution	As part of the following measure	Total
[Name, location]	[e.g. measure 1, explanation if necessary]	[...]
[Name, location]	[e.g. measure 2, explanation if necessary]	[...]
[...]	[...]	[...]

C. Data annex

For producing the data annex (Part C), please use the Excel document "Model tables for the data annex (Proposal as an individual university)" provided on the web page of the Wissenschaftsrat.

C.5. Data on the structure and quality of research infrastructure

C.5.1. Most important research infrastructure of the university (maximum of ten)

C.5.2. Examples of competitively obtained third-party funding, prizes and awards in connection with research infrastructure since 2007 (maximum of ten)

C.5.3. Most important internal measures and effects in connection with research infrastructure since 2007 (maximum of five)

C.6. Data on other areas of activity

C.6.1. Third-party funding revenues, external awards and prizes in the areas of internationalisation, equal opportunity, staff planning, recruitment and development, and cooperation since 2007 (maximum of ten)

C.6.2. Most important internal measures and effects in the areas of internationalisation, equal opportunity, staff planning, recruitment and development, and cooperation since 2007 (maximum of five)

C.6.3. Most important cooperation institutions in Germany (maximum of ten)

C.6.4. Most important cooperation institutions in other countries (maximum of five)

C.7. Diagrams showing structural organisation, bodies and processes

C.7.1. Organisational chart showing the structural organisation of the university

C.7.2. Diagram showing the university's central advisory, decision-making and monitoring bodies and processes

D. Glossary and list of abbreviations

D. Glossary and list of abbreviations

Please produce a glossary containing the English and German names, plus abbreviations if applicable, of the bodies, academic structural units, institutions etc. mentioned.

Proposal template with explanatory notes for the Universities of Excellence funding line: Proposal as an <u>individual university</u>

General instructions for preparing the data annex

The data requested in the data annex (Part C.) of the proposal for the Universities of Excellence funding line conforms, where relevant, to the recommendations accompanying the specification of the Research Core Data Set published by the Wissenschaftsrat (WR, German Council of Science and Humanities) in 2016 (see WR: Recommendations on the Specification of the Core Data Set for Research (Drs. 5066-16), Berlin January 2016. Available for download:
http://www.wissenschaftsrat.de/download/archiv/5066-16.pdf).

Where data input is required in accordance with the Research Core Data Set, the relevant codes (known as KDS-IDs) are shown in square brackets after each term, for example professors [Be19] in full-time equivalents [Be1].
The definitions of the KDS-IDs can be found in the specification table. We recommend working with the short version of the specification table, which is available at:
http://www.kerndatensatz-forschung.de/version1/Spezifikationstabelle_KDSF_v1.html

Where certain data is to be provided in a different form from that specified in the Research Core Data Set or is not part of the specification, this is indicated in the instructions below the table headings. These instructions in cursive must be noted when preparing the data and have to be deleted when the PDF file is created.

The following applies to all tables:

- *'Revenues/Proceeds': Universities that use single-entry accounting should list revenues; universities that use double-entry accounting should list proceeds. The term, which is not applicable, should be deleted.*
- *'Scientific disciplines': Scientific disciplines according to the DFG classification system: humanities and social sciences, life sciences, natural sciences, engineering sciences. More information is available at:*
  http://www.dfg.de/dfg_profil/gremien/fachkollegien/faecher/
- *'From other countries': Number of persons with foreign nationality as per KDS-ID [Be72] or [Na58].*
- *'Foreign students': includes all international students whose eligibility to enroll in higher education was acquired in another country (except recognised German schools abroad) or at a Studienkolleg.*
- *'Women': Share of persons who have indicated their gender as female as per KDS-ID [Be7] or [Na7].*
- *'Faculties': The term 'faculties' refers to academic structural units within the universities.*
  If you use a different designation, please replace the term 'faculties' in the relevant cells with the appropriate term (e.g. 'department'). Where rows are labeled with "[...]" please add more rows if necessary.
- *'Persons': Number of persons as per KDS-ID [Be2].*
- *'FTE': Number of full-time equivalents as per KDS-ID [Be1].*
- *Staff: All staff data should be provided regardless of the source of funding.*

▪ **Cut-off dates:** *Unless otherwise specified, data on employees should be given with a cut-off date of 1 December and data on early career support with a cut-off date of 31 December. Data on third-party funded projects should be given with a cut-off date of 31 December, data on third-party funding, budget and publications relating to the calendar year.*
▪ **Rounding:** *If applicable, the figures should be rounded to one decimal place.*
▪ **Maximum Number of Characters:** *A maximum number of characters is set for some tables in the English data annex template. This only applies to the English version. The German version's content must be identical to the English one, but can differ concerning the number of characters.*

C. Data annex
List of data annexes

C.1   Basic data on the university
C.1.1.   Total budget 2017
C.1.2.   Third-party funding in all performance areas and areas of activity from 2012 to 2017
C.1.3.   Professors 2007, 2012, 2017
C.1.4.   Visiting researchers 2007, 2012, 2017
C.1.5.   Professorial appointments at the applicant university 2007, 2012, 2017
            Offers of professorial appointments to researchers from the applicant university
C.1.6.   from 2012 to 2017
C.1.7.   Vacant professorships and professorships due to become vacant from 2019 to 2026
C.1.8.   Academic and artistic staff (excluding professors) 2007, 2012, 2017
C.1.9.   Doctoral students, postdoctoral researchers and junior research group leaders 2017
C.1.10.   Students and graduates 2017
C.1.11.   Students by intended final degree 2007, 2012, 2017

C.2.   Data on the organisation and quality of research and support for early career researchers
C.2.1.   Examples of important ongoing third-party-funded projects in research and in support for early career researchers since 2012 (maximum of 25)
C.2.2.   Completed doctorates 2007, 2012, 2017
C.2.3.   Completed habilitations 2007, 2012, 2017
C.2.4.   Examples of outstanding researchers since 2012 (maximum of 25)
C.2.5.   Examples of outstanding publications since 2007 (maximum of 25)
C.2.6.   Examples of important external awards and prizes in research since 2012, including awards and prizes for early career researchers (maximum of 25)
C.2.7.   Most important internal measures and effects in research and in support for early career researchers since 2007 (maximum of ten)
C.2.8.   Brief descriptions of profile-forming research areas

C.3.   Data on the structure and quality of teaching
C.3.1.   Examples of competitively obtained third-party funding, prizes and awards in teaching since 2007 (maximum of ten)
C.3.2.   Most important internal measures and effects in teaching since 2007 (maximum of five)

C.4.   Data on the structure and quality of transfer
C.4.1.   Examples of competitively obtained third-party funding, prizes and awards in transfer since 2007 (maximum of ten)
C.4.2.   Most important transfer activities of the university since 2012 (maximum of ten)
C.4.3.   Most important internal measures and effects in transfer since 2007 (maximum of five)

C.5.   Data on the structure and quality of research infrastructures
C.5.1.   Most important research infrastructure of the university (maximum of ten)
C.5.2.   Examples of competitively obtained third-party funding, prizes and awards in connection with research infrastructure since 2007 (maximum of ten)
C.5.3.   Most important internal measures and effects in connection with research infrastructure since 2007 (maximum of five)

C.6.   Data on other areas of activity
C.6.1.   Third-party funding revenues, external awards and prizes in the areas of internationalisation, equal opportunity, staff planning, recruitment and development, and cooperation since 2007 (maximum of ten)

C.6.2. Most important internal measures and effects in the areas of internationalisation, equal opportunity, staff planning, recruitment and development, and cooperation since 2007 (maximum of five)

C.6.3. Most important cooperation institutions in Germany (maximum of ten)

C.6.4. Most important cooperation institutions in other countries (maximum of five)

C.7. Diagrams showing structural organisation, bodies and processes

C.7.1. Organisational chart showing the structural organisation of the university

C.7.2. Diagram showing the university's central advisory, decision-making and monitoring bodies and processes

C.1. Basic data on the university

C.1.1. Total budget 2017

The revenues or proceeds for 'Medicine' contain total funds for the department of medicine, including state contributions for research and teaching (including contributions for other institutional tasks), minus revenues/proceeds for healthcare services.

Revenues or proceeds	Total (incl. medicine) in € m	Medicine only in € m	Excluding medicine in € m
Grand total budget [Dr137]	**0,0**	**0,0**	**0,0**
of which basic funding (incl. for investments)	0,0	0,0	0,0
of which administrative revenues (tuition fees, revenues from economic activity and assets)	0,0	0,0	0,0
of which third-party funding [Dr1a / Dr1b]	0,0	0,0	0,0
of which other financial resources if applicable	0,0	0,0	0,0

C.1.2. Third-party funding in all performance areas and areas of activity from 2012 to 2017

Third-party funding revenues [Dr1a] or proceeds [Dr1b] by funding provider [Dr12]	2012 in € m	2013 in € m	2014 in € m	2015 in € m	2016 in € m	2017 in € m
DFG [Dr21] |[1]	0,0	0,0	0,0	0,0	0,0	0,0
of which DFG funds from the Excellence Initiative	0,0	0,0	0,0	0,0	0,0	0,0
EU [Dr95] |[2]	0,0	0,0	0,0	0,0	0,0	0,0
Federal government [Dr22] (not including Excellence Initiative funds)	0,0	0,0	0,0	0,0	0,0	0,0
Federal state (host state) (not including Excellence Initiative funds)	0,0	0,0	0,0	0,0	0,0	0,0
Commercial business and other private activity [Dr25]	0,0	0,0	0,0	0,0	0,0	0,0
Other third-party funding providers [Dr141]	0,0	0,0	0,0	0,0	0,0	0,0
Not declared [Dr134]	0,0	0,0	0,0	0,0	0,0	0,0
Total	**0,0**	**0,0**	**0,0**	**0,0**	**0,0**	**0,0**
of which [Name of faculty]	0,0	0,0	0,0	0,0	0,0	0,0
of which [Name of faculty]	0,0	0,0	0,0	0,0	0,0	0,0
[...]	0,0	0,0	0,0	0,0	0,0	0,0

|[1] DFG third-party funding included in 2017: [Number] Collaborative Research Centers and Transregios, [Number] Graduate Schools, [Number] Research Training Schools.

|[2] EU third-party funding included in 2017: [Number] ERC-Grants, among them [Number] Starting Grants, [Number] Advanced Grants, [Number] Consolidator Grants, [Number] Synergy Grants.

C.1.3. Professors 2007, 2012, 2017

Percentages for 'joint appointments', 'endowed professorships', 'junior professorships' and 'other tenure track professorships' relate to the relevant proportions of the total number of professors.
Percentage for 'Women' and 'From other countries' refer to the relevant category.

Joint professorships result from a joint appointment process between a university and a non-university research institution or at least two universities.

Junior professors are researchers who generally qualify for a professorship without habilitation. In the case of a (junior) professorship (W1) <u>with</u> tenure track, the advertised post comes with an assurance of a permanent professorial post after a set probation period, without advertisement, at the same institution. In the case of a (junior) professorship (W1) <u>without</u> tenure track, the advertised post does not imply the assurance of a permanent professorial post. Junior professorships with this assurance dependent on job reservation should be listed under "<u>without</u> tenure track".

Professorships (W2 or W3, if applicable) with an assurance of a permanent professorial post after a set probation period, without advertisement, at the same institution, should be listed under "of which other tenure track professorships".

Category	2007 Full-time equivalents [Be1]			2012 Full-time equivalents [Be1]			2017 Full-time equivalents [Be1]		
	Total	Women	From other countries	Total	Women	From other countries	Total	Women	From other countries
Total [Be19]	0,0	0,0 %	0,0 %	0,0	0,0 %	0,0 %	0,0	0,0 %	0,0 %
of which joint appointments	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %
of which endowed professorships [Be59]	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %
of which junior professorships <u>with</u> tenure track	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %
of which junior professorships <u>without</u> tenure track	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %
of which other tenure track professorships	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %
of which [Name of faculty]	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %
of which [Name of faculty]	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %
[…]	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %	0,0 -------- 0,0 %	0,0 %	0,0 %

C.1.4. Visiting researchers 2007, 2012, 2017

Visiting researchers include all researchers of foreign nationality who spent at least one month in one calendar year at the university (including visiting researchers whose visit to Germany was mainly funded by their home institutions).

Designation	2007 Number of persons [Be2]		2012 Number of persons [Be2]		2017 Number of persons [Be2]	
	Total	Women	Total	Women	Total	Women
Visiting professors	0	*0,0 %*	0	*0,0 %*	0	*0,0 %*
of which Alexander von Humboldt professors	0	*0,0 %*	0	*0,0 %*	0	*0,0 %*
[of which others if applicable, please state]	0	*0,0 %*	0	*0,0 %*	0	*0,0 %*
Postdoctoral researcher	0	*0,0 %*	0	*0,0 %*	0	*0,0 %*
Doctoral students	0	*0,0 %*	0	*0,0 %*	0	*0,0 %*

C.1.5. Professorial appointments at the applicant university 2007, 2012, 2017

| Faculty | 2007 | | | 2012 | | | 2017 | | |
| | Number of persons [Be2] | | | Number of persons [Be2] | | | Number of persons [Be2] | | |
	Total	Women	From other countries	Total	Women	From other countries	Total	Women	From other countries
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
[…]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Total	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %

C.1.6. Offers of professorial appointments to researchers from the applicant university from 2012 to 2017

Faculty		Number of persons [Be2]
[Name of faculty]	First appointment (departure)	0
	Appointment (not first appointment, departure)	0
	Declined appointments	0
[Name of faculty]	First appointment (departure)	0
	Appointment (not first appointment, departure)	0
	Declined appointments	0
[...]	First appointment (departure)	0
	Appointment (not first appointment, departure)	0
	Declined appointments	0
Total	First appointment (departure)	0
	Appointment (not first appointment, departure)	0
	Declined appointments	0

C.1.7. Vacant professorships and professorships due to become vacant from 2019 to 2026

The number includes all professorships as per KDS-ID [Be37] and [Be29] plus joint appointments as per the definition above Table C.1.3.

Full-time equivalents [Be1]								
Faculty	**2019**	**2020**	**2021**	**2022**	**2023**	**2024**	**2025**	**2026**
[Name of faculty]	0,0	0,0	0,0	0,0	0,0	0,0	0,0	0,0
[Name of faculty]	0,0	0,0	0,0	0,0	0,0	0,0	0,0	0,0
[…]	0,0	0,0	0,0	0,0	0,0	0,0	0,0	0,0
Total	0,0	0,0	0,0	0,0	0,0	0,0	0,0	0,0

C.1.8. Academic and artistic staff (excluding professors) 2007, 2012, 2017

Data includes all employees as per KDS-ID [Be68] but excludes employees as per KDS-ID [Be19] and [Be18].

2007			2012			2017		
Full-time equivalents [Be1]			Full-time equivalents [Be1]			Full-time equivalents [Be1]		
Total	Women	From other countries	Total	Women	From other countries	Total	Women	From other countries
0,0	0,0 %	0,0 %	0,0	0,0 %	0,0 %	0,0	0,0 %	0,0 %
of which temporary [Be33]:			*of which temporary [Be33]:*			*of which temporary [Be33]:*		
0,0	0,0 %	0,0 %	0,0	0,0 %	0,0 %	0,0	0,0 %	0,0 %

C.1.9. Doctoral students, postdoctoral researchers and junior research group leaders 2017

The allocation of doctoral students to a faculty or equivalent organisational unit corresponds to the faculty membership of the main supervisor.

Postdoctoral researchers comprise all academic and artistic staff with a doctorate [Be22] who work on time-limited projects (excluding junior professors and junior research group leaders).

Junior research group leaders are to be listed in accordance with the definition of the Federal Statistical Office: "This temporary position allows an individual to qualify for appointment to a tenured professorship primarily through having responsibility for leading an independent junior research group." (Federal Statistical Office: Schlüsselverzeichnisse für die Personalstatistik, 2016, Definitionenkatalog Teil 1, Lfd. Nr. 29, SA 1, EF 38). "Junior research group leaders are employed as full-time or artistic staff at universities, conduct independent research and lead their own research groups. They are responsible for staff and a budget, have the necessary core support and access to infrastructure and all necessary resources. Junior research group leaders are selected through a competitive process and qualify for senior academic positions, especially appointment to a professorship." (Federal Statistical Office: Schlüsselverzeichnis für die Personalstatistik, 2016, Definitionenkatalog Teil 1, Lfd. Nr. 26, SA 1-2, EF 33).

Faculty	Doctoral students [Na46/Na38a]			Postdoctoral researchers			Junior research group leaders		
	Number of persons [Be2]			Number of persons [Be2]			Number of persons [Be2]		
	Total	Women	From other countries	Total	Women	From other countries	Total	Women	From other countries
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
[…]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Total	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %

C.1.10. Students and graduates 2017

Students: Full-time students in all courses (including second, postgraduate and additional courses) on the cut-off date of 1 December 2017, excluding those on leave and excluding doctoral students (case figures).

Graduates: All graduates who have successfully passed the final examination on the cut-off date of 30 September 2017, full-time students, excluding graduates with doctorates (case figures).

Share of graduates in the standard period of study (+ 2 semester): The percentage of graduates (see explanation above) of students in the first subject related semester who began a course X semesters before. (X = standard period of study + 2 semesters). *The type of the intended final degree of the students in the first subject related semester cannot be registered as "without a final degree" oder "final degree abroad".*

Faculty/ department	Students			Graduates			Share of graduates in the standard period of study (+ 2 semesters)		
	Total	Women	Foreign students	Total	Women	Foreign students	Total	Women	Foreign students
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0,0 %	0,0 %	0,0 %
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0,0 %	0,0 %	0,0 %
[…]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0,0 %	0,0 %	0,0 %
Total	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0,0 %	0,0 %	0,0 %
of which in teaching	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0,0 %	0,0 %	0,0 %

C.1.11. Students by intended final degree 2007, 2012, 2017

Students in first subject in first course, full-time students, on cut-off date of 1 December 2007/2012/ 2017, excluding those on leave and excluding doctoral students (persons).

Qualification	2007 Number of persons [Be2]			2012 Number of persons [Be2]			2017 Number of persons [Be2]		
	Total	Women	Foreign students	Total	Women	Foreign students	Total	Women	Foreign students
Bachelor	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Master	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Staatsexamen (law) (if applicable)	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Staatsexamen (medicine) (if applicable)	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Staatsexamen (teaching post) (if applicable)	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Other *(please state)*	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Total	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %

C.2. Data on the organisation and quality of research and support for early career researchers

C.2.1. Examples of important ongoing third-party-funded projects in research and in support for early career researchers since 2012 (maximum of 25)

Please only name third-party-funded projects, for which the university acted as the lead or coordinating institution and which will be funded until 31 December 2018 or later.

Please indicate (using colour coding if appropriate) to which profile-forming research areas (e.g. core research areas or emerging fields) the projects belong.

If ERC grants are listed, please specify the type of ERC grant (e.g. ERC Starting Grant, Advanced Grant, Consolidator Grant or Synergy Grant).

Third-party funding provider [Dr12] **and title of funding programme**	Title of third-party-funded project [Dr9] **(maximum of 25)**	Funding period [Dr15a] and [Dr14a] **Funding amount** [Dr88] **in € millions**
DFG [Dr21]		
Clusters of Excellence	e.g. EXC [no.]: [Full title (acronym if applicable)] EXC [no.]: [Full title (acronym if applicable)]	e.g. [2019 - 2025], € 0,0 [2019 - 2025], € 0,0
Graduate Schools *[if applicable]*		
Collaborative Research Centres *[if applicable]*		
Research Training Groups *[if applicable]*		
[Others, please state] *[if applicable]*		
EU [Dr95]		
ERC Grant [please specify] *[if applicable]*		
[Others, please state] *[if applicable]*		
[…]		
Federal government [Dr22] (from competitive programmes)		
[please state]		
[…]		
Federal state (host state) [Dr23] (from competitive programmes)		
[please state]		
[…]		
Commercial business and other private activity [Dr25]		
[please state]		
[…]		
Other third-party funding providers [Dr141]		
[please state]		
[…]		
Total funding amounts [Dr88]		

C.2.2. Completed doctorates 2007, 2012, 2017

The allocation of completed doctorates to a faculty or equivalent organisational unit corresponds to the faculty membership of the main supervisor.

Faculty	2007			2012			2017		
	Total [Na43/Na70]	Women	From other countries	Total [Na43/Na70]	Women	From other countries	Total [Na43/Na70]	Women	From other countries
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
[…]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Total	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
of which in structured doctoral programmes [Na6]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %

C.2.3. Completed habilitations 2007, 2012, 2017

Habiliations that cannot be assigned to a particular faculty (e.g. in case of inter-faculty habilitations) should be entered in the row "others".

Faculty/ department	2007			2012			2017		
	Total [Na44/ Na72]	Women	From other countries	Total [Na44/ Na72]	Women	From other countries	Total [Na44/ Na72]	Women	From other countries
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
[Name of faculty]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
[...]	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Others *[if applicable]*	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %
Total	0	0,0 %	0,0 %	0	0,0 %	0,0 %	0	0,0 %	0,0 %

C.2.4. Examples of outstanding researchers since 2012 (maximum of 25)

If a researcher has left the university in the meantime, please add a footnote to her/his name stating in which year the reseacher left the university.

Last name, first name	at the university since	Scientific discipline, core research areas	Contributions to scholarship (per cell: maximum of 500 characters including spaces)
[Last name, first name] | [1]	[...]	[...]	[...]

| [1] The researcher left the university in [year].

C.2.5. Examples of outstanding publications since 2007 (maximum of 25)

If the author has left the university in the meantime, please add a footnote to her/his name stating in which year the author left the university.

Author(s)* [Pu52]	Year of publication [Pu84]	Title [Pu5]	Publication type [Pu6] Place of publication (e.g. title of journal)	Scientific discipline
[Last name, first name] | [1]	[...]	[...]	[...]	[...]

\|[1] The author left the university in [year].

C.2.6. Examples of important external awards and prizes in research since 2012, including awards and prizes for early career researchers (maximum of 25)

Title of award/prize	Academic rank, name and scientific discipline of the honoured person	Period or year of award
[…]	[…]	[…]

C.2.7. Most important internal measures and effects in research and in support for early career researchers since 2007 (maximum of ten)

Name and brief description of the measure (per cell: maximum of 500 characters including spaces)	Participating institutions and partner institutions if applicable	Year or period	Intended and achieved effects (per cell: maximum of 500 characters including spaces)
[...]	[...]	[...]	[...]
[...]	[...]	[...]	[...]
[...]	[...]	[...]	[...]
[...]	[...]	[...]	[...]
[...]	[...]	[...]	[...]
[...]	[...]	[...]	[...]
[...]	[...]	[...]	[...]
[...]	[...]	[...]	[...]
[...]	[...]	[...]	[...]
[...]	[...]	[...]	[...]

C.2.8. Brief descriptions of profile-forming research areas

Please name profile-forming research areas which have already achieved a leading international position (e.g. core research areas) and emerging fields which are being developed. Alternative structures and designations are possible.

Please insert a new table for each additional core research area or emerging field.

Title of the core research area / emerging field *[please delete as appropriate or replace by your own term]*	[Title]		
Abstract of the core research area / emerging field (max. 500 characters including spaces)	[...]		
Participating disciplines	[...]		

Number of participating persons (as of 2017)	Staff category	Number	
		FTE	Pers.
	Professors [Be19]	**[FTE]**	**[Pers.]**
	of which women	[FTE]	[Pers.]
	of which from other countries	[FTE]	[Pers.]
	of which junior professors <u>with</u> tenure track	[FTE]	[Pers.]
	of which junior professors <u>without</u> tenure track	[FTE]	[Pers.]
	of which other tenure track professors	[FTE]	[Pers.]
	Academic staff (excluding professors) [Be68 minus Be19 minus Be18]	**[FTE]**	**[Pers.]**
	of which women	[FTE]	[Pers.]
	of which from other countries	[FTE]	[Pers.]
	Doctoral students [Persons] [Na46/Na38a]	**[Persons]**	
	of which women	[Persons]	
	of which from other countries	[Persons]	
	Academic support and administrative staff [Be63 plus Be28]	FTE	Pers.
		[FTE]	**[Pers.]**
	of which women	[FTE]	[Pers.]
	of which from other countries	[FTE]	[Pers.]

Participating researchers *[please differentiate according to professors, junior professors and other groups, if applicable]* *[max. total 25]*	Faculty [X]: [Number] researchers
	Principal investigators
	  [Prof. Dr. First name Last name], [Designation]
	  [Jun.-Prof. Dr. First name Last name], [Designation]
	
	Faculty [Y]: [Number] researchers
	  [...]
	
	[...]		

External cooperation partners *[max. total seven]*	In Germany:
	  [Name of institution and names of participating persons]
	  [...]
	In other countries:
	  [Name of institution and names of participating persons]
	  [...]		

Joint appointments (persons)	At participating faculties of the university:		[No. of pers.]
	With other universities and HE institutions:		[No. of pers.]
	With non-university research institutions:		[No. of pers.]

Structures and programmes for early career support	[Name]: [Funding amount if applicable] […]
Ongoing internal funding instruments if applicable	[Name]: [Funding amount if applicable] […]
Ongoing external funding instruments if applicable	[Name]: [Funding amount if applicable] […]
Five most important publications since 2007	[Author(s)] [Year]:[Title], [Place of publication, if applicable no., pages] […]
Five most important doctorates since 2007	[…]
Five most important research successes since 2007	[…]
Contributions to other performance areas and areas of activity (e.g. teaching, transfer, research infrastructures, internationalisation, equal opportunity) [maximum of five]	[…]
Important evaluation parameters for excellent academic achievements [maximum of ten]	[…]

C.3. Data on the structure and quality of teaching

C.3.1. Examples of competitively obtained third-party funding, prizes and awards in teaching since 2007 (maximum of ten)

Programme	Title/description of funding or prize/award Academic rank, name and scientific discipline of honoured person	Period or year of award	Funding amount in € millions (if applicable)
[…]	[…]	[…]	[…]

C.3.2. Most important internal measures and effects in teaching since 2007 (maximum of five)

Name and brief description of the measure (per cell: maximum of 500 characters including spaces)	Participating institutions and partner institutions if applicable	Year or period	Intended and achieved effects (per cell: maximum of 500 characters including spaces)
[…]	[…]	[…]	[…]

Name and brief description of the measure (per cell: maximum of 500	Participating institutions and partner institutions if	Year or period	Intended and achieved effects (per cell: maximum of 500

C.4. Data on the structure and quality of transfer

C.4.1. Examples of competitively obtained third-party funding, prizes and awards in transfer since 2007 (maximum of ten)

Programme	Title/description of funding or prize/award Academic rank, name and scientific discipline of honoured person	Period or year of award	Funding amount in € millions (if applicable)
[…]	[…]	[…]	[…]

C.4.2. Most important transfer activities of the university since 2012 (maximum of ten)

Name/focus*	Brief description (per cell: maximum of 500 characters including spaces)	Participating institutions and partner institutions (if applicable)	since … (year)
[…]	[…]	[…]	[…]

* e.g. patents and licences, spin-offs, external research contracts, service, education, science communication, technology transfer, consulting services, etc.

C.4.3. Most important internal measures and effects in transfer since 2007 (maximum of five)

Name and brief description of the measure (per cell: maximum of 500 characters including spaces)	Participating institutions and partner institutions if applicable	Year or period	Intended and achieved effects (per cell: maximum of 500 characters including spaces)
[…]	[…]	[…]	[…]

C.5. Data on the structure and quality of research infrastructure

C.5.1. Most important research infrastructure of the university (maximum of ten)

Type [Fi4] Name [Fi3] Brief description if applicable (per cell: maximum of 500 characters including spaces)	Operator[Fi11]/ coordinator [Fi12] Participating partners if applicable	Year delivered and lifetime	Category of [Fi5] and access to [Fi6] the research infrastructure	Number of users [Fi7] in 2017 a) internal b) external
[…]	[…]	[…]	[…]	[…]

C.5.2. Examples of competitively obtained third-party funding, prizes and awards in connection with research infrastructure since 2007 (maximum of ten)

Programme	Type [Fi4] Name [Fi3] Operator [Fi11]/coordinator [Fi12] Participating partners if applicable	Period or year of award	Funding amount in € millions (if applicable)
[…]	[…]	[…]	[…]

C.5.3. Most important internal measures and effects in connection with research infrastructure since 2007 (maximum of five)

Name and brief description of the measure (per cell: maximum of 500 characters including spaces)	Participating institutions and partner institutions if applicable	Year or period	Intended and achieved effects (per cell: maximum of 500 characters including spaces)
[...]	[...]	[...]	[...]

C.6. Data on other areas of activity

Please list here any additional information on areas of activity such as internationalisation, equal opportunity, staff planning, recruitment and development, and cooperation which has not been provided in the previous tables on the performance areas of research, teaching, transfer and research infrastructure.

C.6.1. Third-party funding revenues, external awards and prizes in the areas of internationalisation, equal opportunity, staff planning, recruitment and development, and cooperation since 2007 (maximum of ten)

Title of funding programme or award	Title/description of funding or academic rank, name and scientific discipline of honoured person	Period or year of award	Funding amount in € millions (if applicable)
[…]	[…]	[…]	[…]

C.6.2. Most important internal measures and effects in the areas of internationalisation, equal opportunity, staff planning, recruitment and development, and cooperation since 2007 (maximum of five)

Area of activity/focus Name and brief description of the measure (per cell: maximum of 500 characters including spaces)	Participating institutions and partner institutions if applicable	Year or period	Intended and achieved effects (per cell: maximum of 500 characters including spaces)
[…]	[…]	[…]	[…]

C.6.3. Most important cooperation institutions in Germany (maximum of ten)

Name and location of institution	Does a cooperation agreement exist? (Yes, since yyyy; no; planned for yyyy)	Nature, topic areas and focal areas of cooperation (per cell: maximum of 500 characters including spaces)
[…]	[…]	[…]

C.6.4. Most important cooperation institutions in other countries (maximum of five)

Name and location of institution	Does a cooperation agreement exist? (Yes, since yyyy; no; planned for yyyy)	Nature, topic areas and focal areas of cooperation (per cell: maximum of 500 characters including spaces)
[…]	[…]	[…]

C.7. Diagrams showing structural organisation, bodies and processes

The structures and interactions between them should be clear from the graphic representations. Please highlight any changes planned as part of the overall strategy.

C.7.1. Organisational chart showing the structural organisation of the university
(e.g. central entities, academic structural units, cross-faculty research centres)

C.7.2. Diagram showing the university's central advisory, decision-making and monitoring bodies and processes